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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Stefan J.M. Kraemer, M.D., Ph.D. and John M. Adams
Title: TRANSESOPHAGEAL GASTRIC REDUCTION DEVICE,
SYSTEM AND METHOD
Serial No.: 10/686,427
Filing Date: October 14, 2003
Examiner/Unit: Pavitra Kotini / 3731
Attorney Docket No.: 2234-002-03

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Signature

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ACCOMPANYING SECTION 1.97(e) CERTIFICATION**

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In compliance with 37 CFR § 1.56 and § 1.97, Applicant acting by and through the attorney of record, hereby discloses patents and other documents listed on the attached Form PTO-1449 which may be considered relevant to the examination of the subject application.


Copies of the cited non-U.S. patent references are enclosed.

Certification Under Section 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

A handwritten signature in black ink, appearing to read "Richard O. Gray, Jr.", written in a cursive style.

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Substitute for form 1449A/PTO

Complete if Known

(Use as many sheets as necessary)

Sheet	1	of	1
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<i>Application Number</i>	10/686,427
<i>Filing Date</i>	October 14, 2003
<i>First Named Inventor</i>	Stefan J.M. Kraemer
<i>Art Unit</i>	3731
<i>Examiner Name</i>	Pavitra Kotini
<i>Attorney Docket Number</i>	2234-002-03

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/686,427
Filing Date	October 14, 2003
First Named Inventor	Stefan J.M. Kraemer
Art Unit	3731
Examiner Name	Pavitra Kotini
Attorney Docket Number	2234-002-03

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		International Search Report for PCT/US04/33905 dated February 23, 2007, and mailed March 23, 2007	

Examiner
SignatureDate
Considered

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